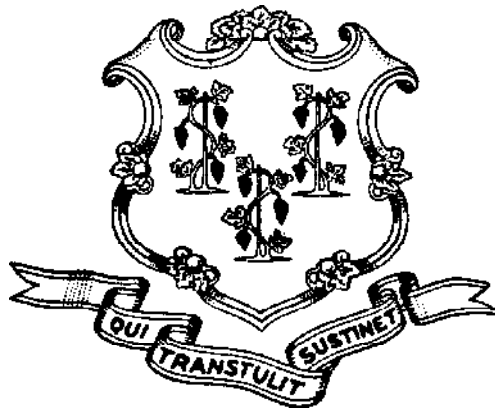


# State of Connecticut

## **Dry Cleaning Establishment Remediation Fund**

### **Instructions and Application**



**Administered by**

**The Department of Economic and Community Development  
James Abromaitis, Commissioner**

**Infrastructure and Real Estate Division  
Chester Camarata, Executive Director  
Dimple Desai, Program Manager**

# Instructions

## General Description

This application will enable the DECD to determine the suitability and eligibility of the business to apply for financial assistance from the Dry Cleaning Establishment Remediation Fund. The application must be type written & include all required documents as listed in Section V I **induplicate**. Incomplete applications will be put on hold. *All business information accompanying this Dry Cleaner Remediation Fund Application is exempt from the Freedom of Information Act pursuant to Section 32-11(k) of the Connecticut General Statutes.* Completed applications should be delivered to the Dry Cleaning Establishment Remediation Fund, Department of Economic and Community Development, Infrastructure & Real Estate Division, 505 Hudson Street, Hartford, CT 06106, no later than **noon on March 30, 2001 (1st round) or August 31st, 2001 (2nd round)**. If you have questions, please call Mr. Dimple Desai, Program Manager @ (860) 270-8151 or Mr. Brian Dillon, Project Engineer @ (860) 270-8156.

**I. Applicant :** List full legal name of the operator/ owner of the Dry Cleaning business

**II. Establishment Name:** Provide the Name and Address of the Establishment (business)

**III. Applicant's FEIN & CT Tax Registration No.**

**IV. Applicant's Representative:** Provide the name of landlord, address, telephone & fax number

**V. Project Information**

**A. Site Information**

**1. Activities:** Identify use for funding.

**2. Site Data:** Include technical or environmental reports regarding the site.

**3. Contamination Criteria:** Indicate whether the existing concentrations of soil and/or ground water contamination at the site exceed applicable criteria established in Section 22-133k(1-3) of the Regulations of Connecticut State Agencies (Remediation Standard Regulations adopted by the CTDEP).

**4. Environmental Compliance:** Has any State Agency or Federal Agency or Court issued any order, judgment concerning a violation of any environmental law for this site?

If you answered **yes** to this question, you must attach a separate sheet of paper with the following information:

**Type of enforcement action**--Identify each enforcement action as one of the following: Administrative order (including consent orders), Judgment, order, or decree.

**Date**--List the date each administrative order was issued to a civil or criminal action was commenced.

**Jurisdiction**--For each listed enforcement action, indicate whether a state court, a federal court, a state agency or a federal agency was involved. Identify such court or agency.

**Order/Case/Docket Number**--List the order case or docket number of each enforcement action.

**Description of violation**--Provide a brief description of each violation cited in the listed enforcement action and any requirement or penalty imposed as a result of such action and status.

**Property Transfer Filings:** Provide information

in regards to any DEP Property Transfer Filings.

**5. Litigation Proceedings:** Are there any outstanding litigation proceedings concerning this site. If yes, provide information on type, parties involved, and status of litigation.

**B. Project Costs/Finances**

**1. Conventional Financing:** Provide information indicating that you have been turned down by at least two financing sources.

**2. Project Description/Cost:** Please complete the financing plan and budget forms that are attached -- must be type written & with original signatures.

**3. Applicant's Financial Contribution:** Sites where a spill was reported prior to December 31, 1990 must document at least a \$20,000 investment on the part of the owner. Spills reported after that date must demonstrate at least a \$10,000 investment.

**C. Responsibility for site investigation and remediation:**

Who is taking the responsibility for site investigation and remediation? Applicant/tenant or landlord?

**VI. Required Documents:** Have you enclosed the following documents?

**A. Site Data/Reports**

**B. Environmental Compliance:** Only if you have been cited by the Connecticut Department of Environmental Protection or the federal Environmental Protection Agency or other agencies

**C. Conventional Financing Denial letters**

**D. Project Description & Cost/financing plan and budget**

**E. Applicant's Financial Contribution with copies of invoices and cancelled checks.**

**F. Tax returns:** Attach your business' federal/State returns for the past three years, along with DRS surcharge Form OP-374 for last 4 quarters.

**G. Copy of State Certificate of Incorporation:** For corporations attach: (1) a copy of the certificate which you filed with the Secretary of State, (2) execute the attached Certified Resolution, and (3) provide certificate of Good Standing from Secretary of State. If the business is not a corporation, attach another document that indicates the date the business began.

**H. Landlord/tenant agreement:** Landlord and tenant (applicant) must enter into this agreement if landlord is taking responsibility for site investigation and remediation.

# Application

I. Applicant Name \_\_\_\_\_

II. Establishment/Business Name \_\_\_\_\_

Establishment Address \_\_\_\_\_

Telephone # \_\_\_\_\_ Fax # \_\_\_\_\_

III. Applicant's FEIN/SS#: \_\_\_\_\_ CT Tax Registration # \_\_\_\_\_

IV. Applicant's Representative: \_\_\_\_\_ Title: \_\_\_\_\_

Representative's Address: \_\_\_\_\_

Telephone # \_\_\_\_\_ Fax # \_\_\_\_\_

## V. Project Information

### A. Site Information

#### 1. Activities that the project addresses

Please check off which of the following needs would be addressed by this project.

☐ Site remediation ☐ Provision of potable drinking water ☐ Pollution prevention

#### 2. Site Data

Please provide a brief description of the site including historic uses, environmental problems on the site, pollution impacts on neighborhood drinking wells and watersheds, any public health and safety issues related to the pollution, current operational practices, means and measures to mitigate pollution problems.

**NOTE: Attach two copies of all available technical plans and reports related to the investigation and remediation plans of the site.**

**Groundwater Classification (GA, GAA, GB, etc.):** \_\_\_\_\_

Please provide the groundwater classification where the Establishment is located.

### 3. Contamination Criteria

If the proposed project includes remediation activities, please complete the following:

Do the existing concentrations of pollutants in soil and/or groundwater at the site exceed applicable criteria established in Section 22-133k(1-3) of the Regulations of Connecticut State Agencies (Remediation Standard Regulations adopted by CT DEP)?

☐

Yes

☐

No

Has there ever been a spill or seepage of tetrachloroethylene, Stoddard solvent, or other fabric cleaning chemicals at the proposed site?

☐

Yes

☐

No

If Yes, was the spill or seepage reported to the Department of Environmental Protection?

☐

Yes - Indicate date: \_\_\_\_\_ (provide status )

☐

No

### 4. Environmental Compliance

Has any state, including Connecticut, federal administrative agency or federal court issued any order or entered any judgment to the establishment concerning a violation of any environmental law?

☐

Yes

☐

No

If yes, you must attach a separate sheet of paper with the following information: type of enforcement action, date, jurisdiction, order/case/docket number, description of violation and status.

Was there any property and/or business transfer since 1980?

☐

Yes

☐

No

If Yes, the date/s transferred: \_\_\_\_\_. Was any property transfer form filed with DEP in accordance with CGS Section 22a-134? Attach the Form filed with this application.

### 5. Litigation Proceedings:

Are there any outstanding litigation proceedings related to this site?

☐

Yes

☐

No

If yes, please provide information on type, parties involved, and status of litigation proceedings.

### B. Project Cost/Finances

#### 1. Conventional Financing

In order to be eligible for the Dry Cleaning Establishment Remediation Fund, you must have applied to and been denied by at least two different conventional financing sources to fund the proposed project. Please list the appropriate information below and **attach copies of the letters of denial**.

Institution's name

Amount requested

Contact name

Telephone number

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## 2. Project Description and Cost

Please complete the attached Project Financing Plan and Budget. Attach detailed price quotes from at least two different goods or service providers for the project. Remember, only goods or services that are proposed at the time of application submittal and which are subsequently approved by Department of Economic and Community Development staff will be eligible for payment or reimbursement. **The applicant must provide SEALED quotations with the application to DECD.** Enclose 2 copies of environmental reports prepared for the site.

## 3. Applicants Financial Contribution

If the project involves a spill that was initially reported to the DEP prior to December 31, 1990, attach receipts, invoices and price quotes that prove that the applicant has invested or will invest at least \$20,000 in the project. In all other cases, attach similar documentation to prove that at least \$10,000 has been or will be invested in the project by the applicant.

**C. Responsible party for investigation and remediation (check one)** ☐ Applicant/tenant ☐ Landlord

## VI. Required Documents - 2 sets of these documents must be included in order for the application to be complete.

- |                                   |  |
|-----------------------------------|--|
| A. Site Data                      | E. Applicant's Financial Contribution w/invoices & cancelled checks    |
| B. Environmental Compliance       | F. Federal/State tax returns with CT surcharge Form OP-374             |
| C. Conventional Financing Denials | G. Copy of State Certificate of Incorporation and/or related documents |
| D. Project Description/Cost       | H. Executed landlord/tenant agreement                                  |

## Certification by Applicant and Representative

It is hereby represented by the undersigned as an inducement to the Department of Economic and Community Development to consider the financial assistance requested herein, that to the best of my knowledge and belief no information or data contained in the application or in the attachments are in any way false or incorrect and that no material information has been omitted, including the financial statements and litigation proceedings related to this site. The undersigned agrees that banks, credit agencies, the Connecticut Department of Revenue Services, the Connecticut Department of Environmental Protection, and other agencies are hereby authorized now by the applicant and the representative, or anytime in the future, to give the Department of Economic and Community Development any and all information in connection with matters referred in this application, including information concerning the payment of taxes by the applicant. In addition, the undersigned agrees that any funds that may be provided pursuant to this application will be utilized exclusively for the purposes represented in this application, as may be amended by DECD.

**Applicant's Signature :** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Representative's Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

Please be sure to include all required documents and **return by the deadline (No postmark) to:**

Dry Cleaning Establishment Remediation Fund  
**DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT**  
**Infrastructure and Real Estate Division**  
**505 Hudson Street, Hartford, CT 06106**  
**Attention: Mr. Dimple Desai**  
**Telephone: (860) 270-8151    Fax: (860)270-8157**